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Empire Shared Collection Program: Preserving legacy print collections together

Nicole Colello

Jennifer L. Murray

University of North Florida, jlmurray04@gmail.com

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Empire Shared Collection

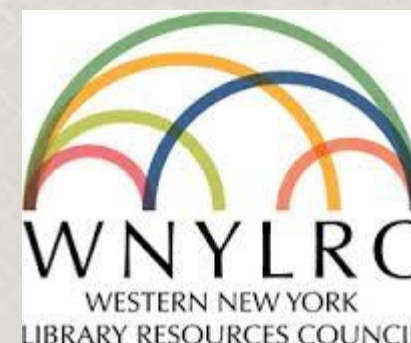
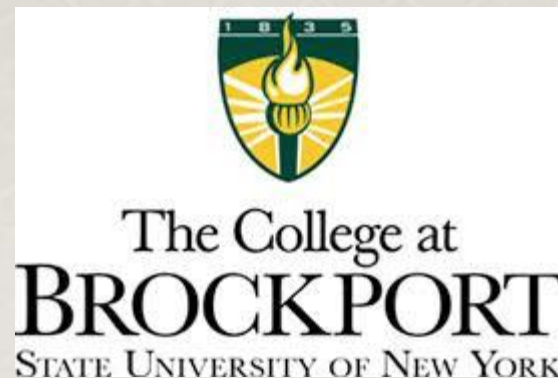


Preserving legacy print collections

*Nicole Colello & Jennifer Murray
University at Buffalo*

Grants

- *Western New York Library Resources Council - RBDB Grants*
- *2012-13: Focused on planning - Develop an implementation strategy for the establishment of a **collaborative print depository** in Western New York.*
- *Working Group:*



Goals of the Program

- ✿ *Promote cost-effective management and preservation of low-use legacy print collections, while assuring ready and perpetual access to scholars and researchers in Western New York*
- ✿ *Develop a sustainable model capable of growth*
- ✿ *Allow for space gains for all member libraries*

Grants (continued)

Western New York Library Resources Council - RBDB Grants

✿ *2013-14: Phase 1 Implementation*

*Test the merits of the implementation strategy and create a **collection management program** that enables member libraries to assess the uniqueness, overlap, and distribution of the participating libraries' aggregate collection.*

✿ *2014-15: Aggregate Serials Collection Analysis*

The continuing success of the Program hinges upon having the resources to assess the aggregate collection.

Collection Analysis – Challenges

- ✦ Complexity/Quality of data
- ✦ Labor intensive
- ✦ Scalability
- ✦ Numerous Tools

Collection Analysis - Identify

- ✿ Identify UB Annex serial holdings and member holdings using:
 - ✿ Aleph reports
 - ✿ OCLC Collection Analysis Tool

Collection Analysis – Prepare & Organize

- ✿ Manipulate data using Excel
- ✿ Organize all data using Access database
 - ✿ Overlap between Annex and members
 - ✿ By publication status for analysis

Collection Analysis – Verify

★ Verify – UB Annex serial holdings: complete runs, gaps

Switchboard

frm_UB_Annex_serials_not_reviewed_d

UB Annex serials - holdings not reviewed - dead titles

Database ID	Sys No	ISSN	OCLC No	Pub Status	Preceding/Succeeding Titles	
23272	001240437	0021-5694	(OCoLC)03718722	d		

Title

Jazz report.

Start Pub Date

1960

End Pub Date

1982

Pub Dates

Began with issue for Sept 1960; ceased with v. 10, no. 3 [1982].

UB Catalog URL

Holdings_852/866

Libraries Annex Non-Circulating ML1 .J355 v.8:no.1-v.10:no.2

<http://bison.buffalo.edu:8991/F/>

Next Record

Previous Record

Holdings Review:

Database ID

23272

Review Date Modified:

5/30/2014

Holdings Reviewed

☐

CTS Review Needed

☐

CTS Reviewed

☐

Exclude from sharing:

Review Notes

Is Complete Run at UB?

☐

(Check for Yes - The Complete run is at UB)!

If Complete Run is at UB:

Is Complete Run at Annex?

☐

If complete run not at Annex, then what other UB Libraries:

If Complete Run is not at UB:

Is everything UB owns at Annex?

☐

If everything UB owns is not at Annex, then what other UB Libraries?

UB Holdings Gaps (Years):
Seperate by comma (i.e.1,2,3)

UB Holdings Gaps (Volumes):
Seperate by comma (i.e. 1940, 1942,)

UB Holdings Gaps (Other):

Collection Analysis – Report

- ✿ Reports website:
 - ✿ Member overlap reports
 - ✿ Serial gaps form
- ✿ SharePoint - share information with members

Shared Collection – current

- ✿ ~34,000 serial titles held in the Annex
 - 30,000+ titles have been analyzed for gaps
- ✿ 26,000 Empire Shared Collection holdings
- ✿ New OCLC symbol (BUFSP)

Discovery and Delivery of the Shared Collection



FirstSearch/WorldShare

FirstSearch



Libraries that Own Item

- This screen shows libraries that own the item you selected.

[UB Libraries](#) | [BISON Catalog](#) | [Contact A Librarian](#)

[Home](#) [Databases](#) [Searching](#) [Results](#) [Patron Resource Sharing](#)

[Staff View](#) | [My Account](#) | [Options](#) | [Comments](#) | [Exit](#) | [Hide tips](#)

[List of Records](#) [Detailed Record](#) [Marked Records](#) [Saved Records](#) Go to page



Current database: **WorldCat** Total Libraries: 283



Title: Advances in prostaglandin and thromboxane research Author: Samuelsson, Bengt Accession Number: 2093202

Display All Libraries

Libraries with Item: "Advances in prostaglandin..." ([Record for Item](#) | [Get This Item](#))

Location	Library	Local Holdings	Code
US,NY	BRISTOL-MYERS CO, SCI INFO CTR		ZUD
US,NY	COLUMBIA UNIV, HEALTH SCI		ZCH
US,NY	CORNELL UNIV	v.1-8(1976-1980)	COO
US,NY	NEW YORK MED COL LIBR	1-8(1976-1980)	VVO
US,NY	NEW YORK STATE LIBR		NYG
US,NY	NEW YORK UNIV		ZYU
US,NY	NYU LANGONE MEDL CTR		VVU
US,NY	ROOSEVELT HOSP, MED LIBR		VVQ
US,NY	ROSWELL PARK CANCER INST	1-8(1976-1980)	VZR
US,NY	SAINT JOHNS UNIV LIBR		ZSJ
US,NY	SUNY AT STONY BROOK, HEALTH SCI LIBR	1-8(1976-1980)	VZB
US,NY	SUNY HEALTH SCI CTR AT BROOKLYN	1-8	VVD
US,NY	THE ROCKEFELLER UNIV		WJ7
US,NY	UNIV AT BUFFALO SHARED PRINT	v.1 (1976)-v.8 (1980)	BUFSP
US,NY	UNIV OF ROCHESTER	1-2(1976)	RRR
US,NY	UNIV OF ROCHESTER MED CENTER	3-8	RNM
US,NY	WEILL CORNELL MED COL OF CORNELL U	1-8(1976-1980)	VYC

Discovery Methods

- ✿ Local catalogs: *load records of shared materials into local catalogs – ease of discovery, yet labor intensive to maintain records in local systems.*
- ✿ Discovery layers: currently EDS and Summon
 - ✿ *Custom catalogs – quarterly updates*
 - ✿ *Discovery of materials easy for library patrons; less time spent maintaining records; and efficient processing of delivery requests.*

EDS Discovery



OneSearch @ ESF Libraries

Keyword scientific american

Search



[Basic Search](#) [Advanced Search](#) [Search History](#)

Refine Results

Current Search

Boolean/Phrase:

scientific american

Limiters

Location: Empire Shared Collection

Limit To

- ☐ Full Text
- ☐ Scholarly (Peer Reviewed)

Search Results: 1 - 10 of 548,577

1. Scientific American [microform].



[New York : Scientific American, Inc.] v. : ill. (some col.), ports. Language: English, Database: Empire Shared Collection

Subjects: Science -- Periodicals; Technology -- Periodicals; Science; Technology; Periodicals



[Request Item](#)

Periodical

2. Scientific American. Supplement.



New York [Munn and Co., etc.] 88 v. ill. 41 cm. Language: English, Database: Empire Shared Collection

Subjects: Science -- Periodicals



[Request Item](#)

Periodical

EDS Discovery

Scientific American [microform].

Language: English

Publication Information: [New York : Scientific American, Inc.]

Publication Date: 1845

Physical Description: v. : ill. (some col.), ports.

Series: Library of American civilization; LAC 31485-593

Publication Type: Periodical

Document Type: Periodical

Subject Terms: Science -- Periodicals
Technology -- Periodicals
Science
Technology
Periodicals

Notes: Microfiche. Chicago : Library Resources, 1970. 109 microfiches ; 8 x 13 cm. (Library of American civilization ; LAC 31485-593).

OCLC: 18034867

Serial Publication Dates: V. 1 (Aug. 28, 1845)-v. 14 (June 25, 1859) ; new ser., v. 1 (July 2, 1859)-

Publication Frequency: Monthly, Nov. 1921-

Accession Number: ESC.001616731

Database: Empire Shared Collection

Location	Call No.	Status
Empire Shared Collection	E169.1 .L4 LAC31486- 593 v.1 (1845)-v.14 (1859);***n.s.:v.1 (1859)-n.s.:v.93 (1905)	Request Item

Delivery of Shared Materials

- ✦ Delivery of materials to end users will follow established Document Delivery Best-Practices:
One business day for journal articles
- ✦ Request can be sent **via OCLC**
(BUFSP in borrowing string)
- ✦ Direct Request **via ISO** protocols (circumventing OCLC)
- ✦ **Via a webform** for those without an ILL system

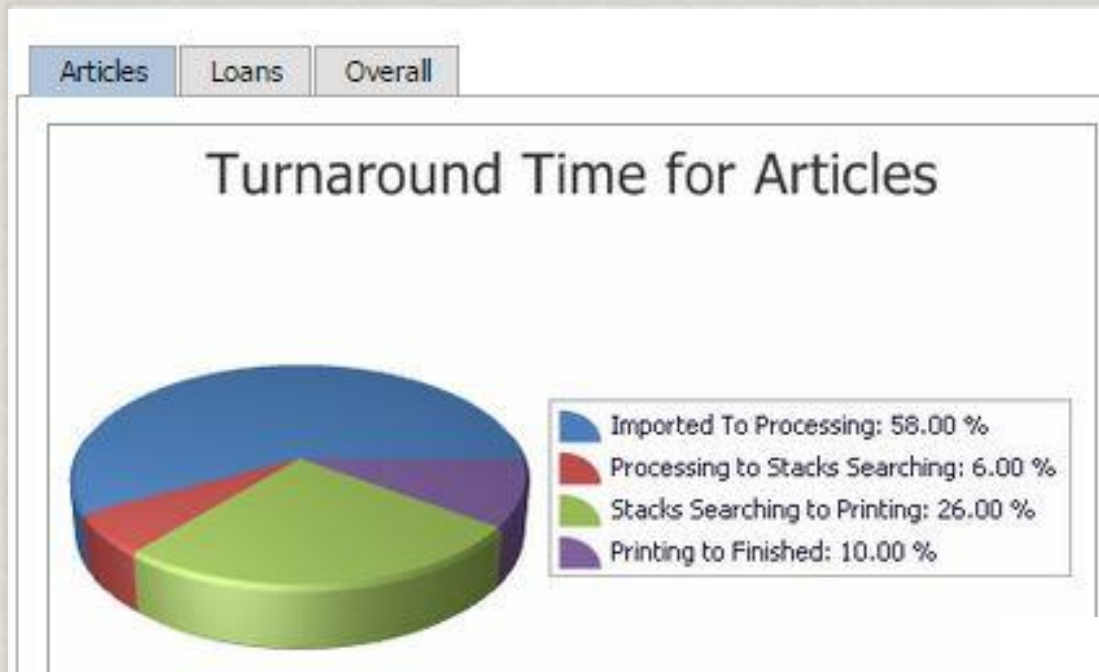
Requests filled at Annex

Number of Requests Filled at UB Annex

		FY07/08	FY08/09	FY09/10	FY10/11	FY11/12	FY12/13	FY13/14	FY14/15
Electronic Delivery Requests:	January	548	512	540	439	999	787	1,449	1,505
	February	600	594	659	589	1,179	1,141	1,296	1,502
	March	611	729	679	538	751	925	1,410	
	April	667	683	756	560	734	980	1,484	
	May	532	556	427	471	474	930	1,245	
	June	498	599	461	527	593	912	1,127	
	July	166	610	515	444	413	612	947	1,320
	August	207	504	351	495	549	589	1,163	1,180
	September	291	836	521	546	541	815	1,342	1,520
	October	467	1,005	571	548	756	808	1,425	1,457
	November	467	635	605	534	635	767	1,492	1,503
	December	308	512	712	411	459	537	1,026	1,214
	Total	5,362	7,775	6,797	6,102	8,083	9,803	15,406	11,201
Physical Item Requests	January	39	122	123	177	177	192	178	255
	February	14	124	137	229	191	236	309	261
	March	45	140	116	178	240	248	397	
	April	44	97	126	153	172	175	369	
	May	68	78	134	135	135	161	249	
	June	47	118	124	128	149	132	173	
	July	44	73	77	78	107	124	153	149
	August	45	91	98	128	160	77	224	219
	September	32	124	129	168	181	179	205	409
	October	24	140	130	175	185	207	431	319
	November	64	80	104	169	214	211	282	345
	December	6	92	63	106	115	198	218	304
	Total	472	1,279	1,361	1,824	2,026	2,140	3,188	2,261

Delivery turnaround time

– BUFSP client



Total Number of Selected Article Records	910
Average Time from Imported To Processing	8.75 hours
Average Time from Processing to Stacks Searching	54.78 minutes
Average Time from Stacks Searching to Printing	3.98 hours
Average Time from Printing to Finished	1.51 hours
Average Total Time	15.16 hours

The Empire Shared Collection Program



Who makes decisions?

Steering Committee consists of one representative from each member library

Charge:

Maintain policies, agreements, and procedures for the establishment and maintenance of the Collection

Memorandum of Understanding (MOU) is signed by all members and will be reviewed periodically by the Steering Committee

With the approval of current members, other institutions may participate if they agree to the terms of the MOU and the policies for the operation of the collection.

The Collection – A Single Copy Repository

- ✿ *No duplication of titles allowed within the repository*
- ✿ *Considered the designated “last copy” for the members*
- ✿ *Starting with the University at Buffalo Libraries Annex; plans exist to transition to a distributed model as space and use dictate*

High Density Storage

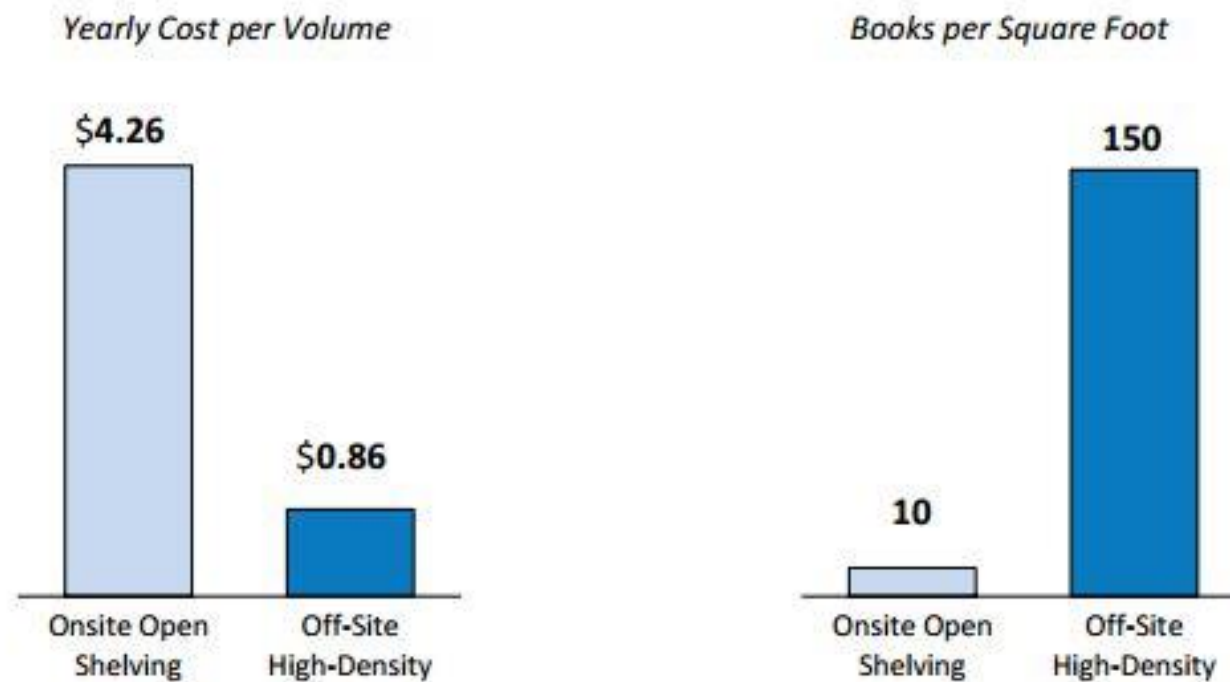
About the UB Libraries Annex



Why High Density Storage?

The Price of “Just-in-Case” Collections *Attachment to Open Stacks Comes With Significant Costs*

The Cost of Keeping a Book



Source: Courant, Paul and Matthew Nielsen, "On the Cost of Keeping a Book", *The Idea of Order: Transforming Research Collections for 21st Century Scholarship*. CLIR Pub#147. June 2010; Education Advisory Board Interviews and analysis.

Who owns the material?

- ✿ All participating members own the materials
- ✿ Materials are here forever unless Steering Committee approves withdrawals.
- ✿ As we move to a distributed model, publically accessible shelving is acceptable storage space.

Who does Cataloging?

The cataloging of records for materials included in the Shared collection will be the responsibility of the depositing library.

This includes:

- Since the initial collection consists of the ~34,000 serial titles currently stored at the Annex. UB will be responsible for adding those records to the BUFSP symbol.
- Updating OCLC LHRs occur on a quarterly basis.

Cost of Membership

The planning group determined that the fairest and most scalable way to determine the cost of membership was to use the **total materials expenditures budgets** of all member libraries.

All budgets are added together to create an aggregate number.

Each library's *percentage of the aggregate* amount is the percentage each will pay toward the Operating Cost of the program.

Membership Cost

So, for example let's say for FY13/14:

- Operating Costs of Annex = \$58,000
- UB's total materials expenditures budget = \$8.5 million
- The aggregate total of all participating libraries total expenditures budgets = \$17 million
- 8.5 million is 50% of 17 million
- UB would pay 50% of the Operating Costs, which would equal \$29,000

This Business Plan is scalable: the more participants in the program, the smaller the cost to each institution!

Annex Operational Costs

FY 2013/14 for Shared Collection

FY2013/2014 Shared Collection Repository Operational Costs

Personnel	Annual Cost
Annex Assistant (.20 FTE)	\$9,224
Laborer (.5 FTE)	\$15,750
Student Assistants (DocDel/Inventory/Metadata/Cataloging/ETC...)	\$10,148
Total Personnel	\$35,122
Hardware/Software Annual Maintenance	
GFA (Inventory Control System)	\$17,200
ILLiad Maintenance - Satellite Library	\$1,310
DLSG Bookeye (BSCAN)	\$2,387
DLSG Bookeye (KIC)	\$2,387
Total Hardware/Software Annual Maintenance	\$23,284
Supplies	
Paper, Toner, etc.	\$1,000
Total Supplies	\$1,000
Total Estimated FY 2013/2014 Costs	\$59,406

Future Plans:

- ★ Phase II: Shared Monographs

- ★ *The Steering Committee will determine the scope of a shared monograph collection (unique vs shared materials)*

- ★ Distributed shared print serials collection

- ★ Digitization support

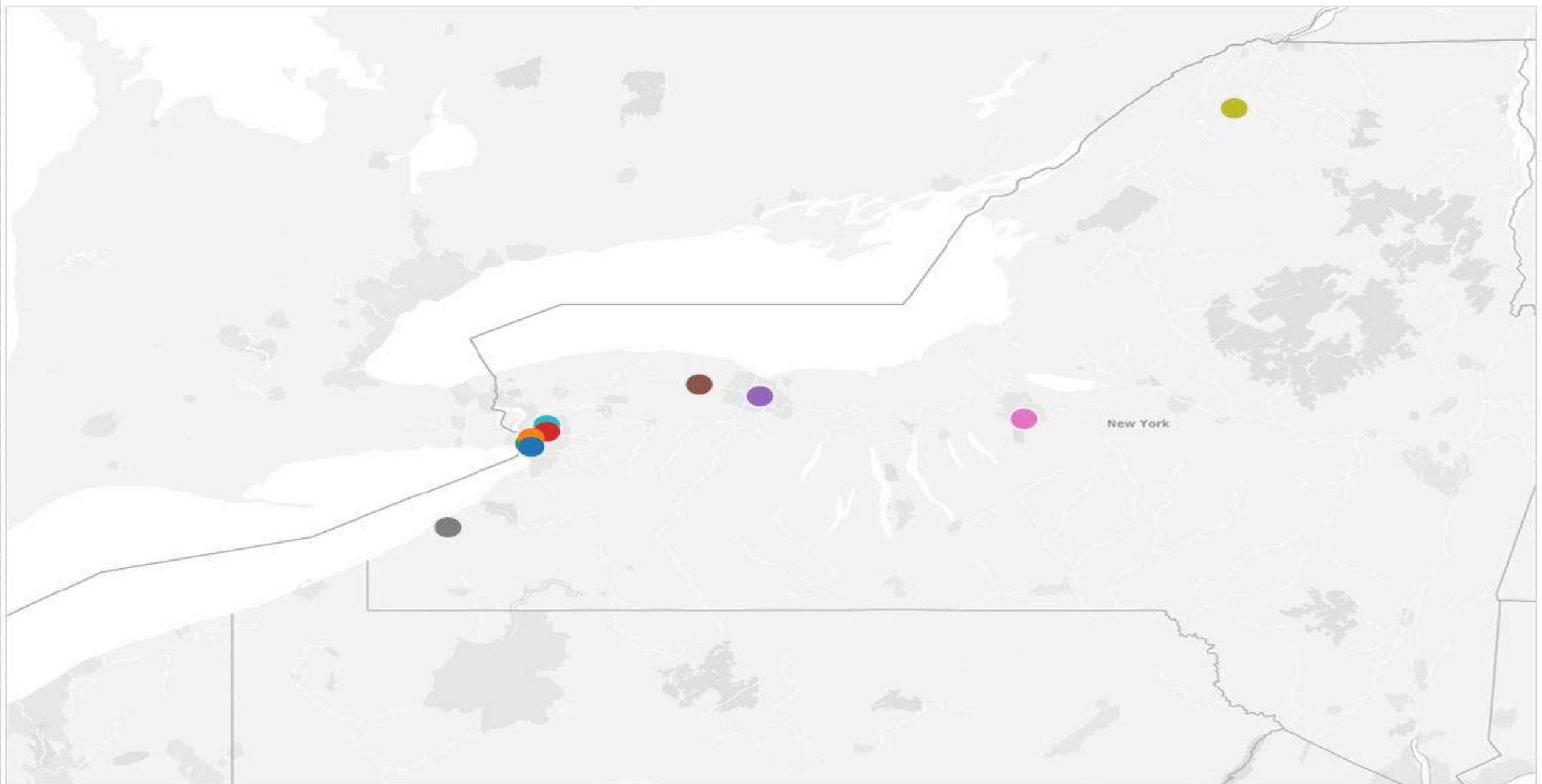
- ★ Print-on-demand services for member libraries

- ★ Any other ideas...?

Current members

Empire Shared members

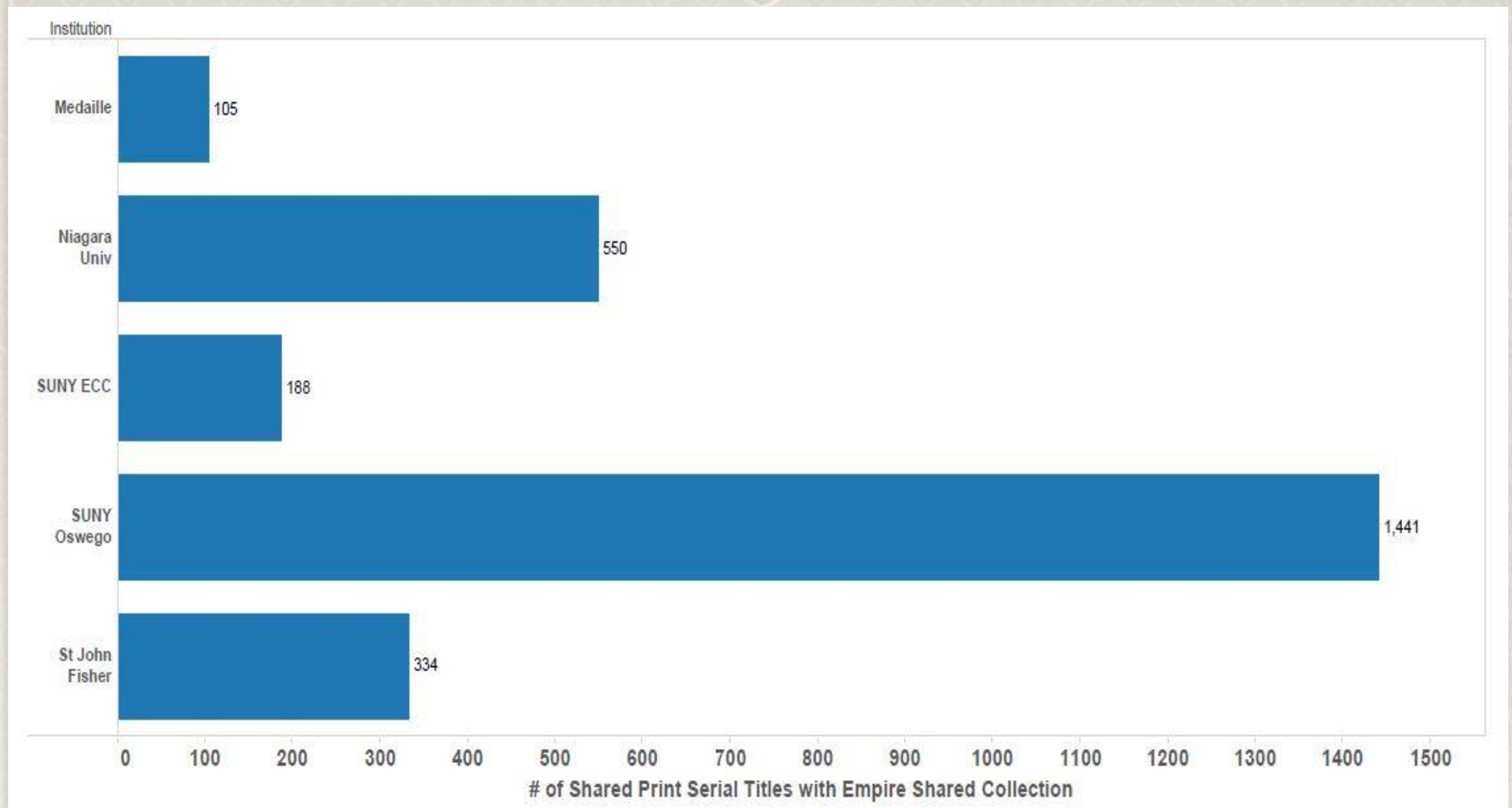
- | | | |
|-----------------|--------------------------------|-------------------------|
| ■ BECPL | ■ Monroe County Library System | ■ SUNY Potsdam |
| ■ Buffalo State | ■ SUNY Brockport | ■ University at Buffalo |
| ■ D'Youville | ■ SUNY ESF | |
| ■ Daemen | ■ SUNY Fredonia | |



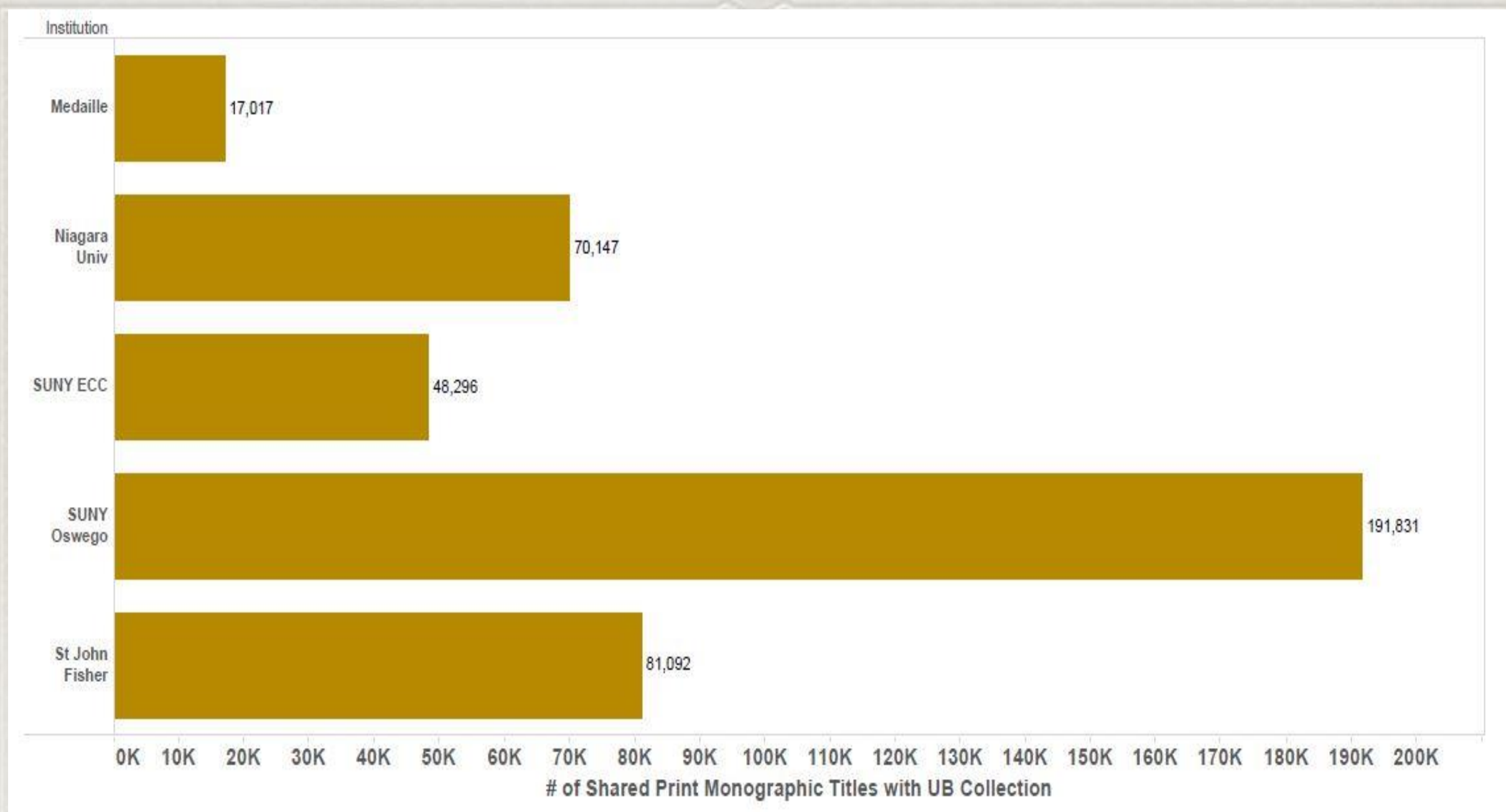
WNLRC workshop attendees— Collection Overlap



Some Collection overlap analysis – Shared serial titles



Collection overlap analysis – Shared monograph titles



How to Join

Contact:

Nicole Colello

Coordinator, Information Access and Delivery Projects

716-645-7983

ncolello@buffalo.edu

<http://empiresharedcollection.org/>