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Density of the Numerators or Denominators of a Continued Fraction

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DENSITY OF THE NUMERATORS OR DENOMINATORS OF

A CONTINUED FRACTION

by

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A Thesis submitted to the Department of

Mathematics and Statistics

in partial fulfillment of the requirements for the degree of

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Dedicated to my wife Setareh, my
daughter Ghazal, and my brother-in-law
Dr. Masoud Nemati, for their love,
patience and unending support.

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ABSTRACT

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Density of the Numerators or Denominators of a Continued Fraction

Let $A = \{a_n\}_{n=1}^{\infty}$ be a sequence of positive integers. There are two related sequences P_n and Q_n obtained from A by taking partial convergents out of the number $[0; a_1, a_2, \dots, a_n, \dots]$, where P_n and Q_n are the numerators and denominators of the finite continued fraction $[0; a_1, a_2, \dots, a_n]$.

Let $P(n)$ be the largest positive integer k , such that $P_k \leq n$. The sequence $Q(n)$ is defined similarly.

- ◆ A known result of Barnes' Theorem states that $P(n) = o(n)$ and $Q(n) = o(n)$.
- ◆ In this paper we improve this result as $P(n) = O(\log n)$ and $Q(n) = O(\log n)$, where it follows that $P(n) = o(n^\varepsilon)$ and $Q(n) = o(n^\varepsilon)$ for any $\varepsilon > 0$.

Chapter 1

Introduction

Let $A = \{a_n\}_{n=1}^{\infty}$ be a sequence of positive integers. We can naturally compose an irrational number in the interval $(0, 1)$ from A by expansion of simple continued fraction $[0; a_1, a_2, \dots, a_n, \dots]$. Denoting the n^{th} convergent of this fraction by

$\frac{P_n}{Q_n} = [0; a_1, a_2, \dots, a_n]$, the P_n and Q_n are uniquely determined coprime numbers.

Thus we obtain two infinite sequences of positive integers from A , the sequence of numerators $P = \{P_n\}_{n=1}^{\infty}$ and the sequence of denominators $Q = \{Q_n\}_{n=1}^{\infty}$. For an arbitrary sequence $\{b_n\}$ let $B(n)$ denotes the number of terms of the sequence not

exceeding n . The natural density of B is defined to be $\delta(B) = \lim_{n \rightarrow \infty} \frac{B(n)}{n}$ if it exists. It

has been proved by Barnes [1] that the natural density of the sequence $P = \{P_n\}_{n=1}^{\infty}$,

$\delta(P) = 0$ for any given sequence A . The equality $\delta(P) = 0$ can be rewritten equivalently

as $P(n) = o(n)$, which gives an estimation of the magnitude of $P(n)$. We will prove that

$P(n) = O(\log n)$ and similarly $Q(n) = O(\log n)$ for any given sequence A .

A simple corollary to this result is that $P(n) = o(n^\varepsilon)$ and $Q(n) = o(n^\varepsilon)$ for any $\varepsilon > 0$.

Chapter 2

A Glance at Sequences of Real Numbers

2.1 Sequence

In this thesis a sequence is a function f from the set of natural numbers into a set of real numbers. Usually a sequence is denoted by $a_1, a_2, a_3, \dots, a_n, \dots$ instead of $f(1), f(2), \dots, f(n), \dots$ for historical reasons. Each $a_n = f(n)$ in the sequence is called a term. Therefore, a_n is called the n^{th} term. The sequence $a_1, a_2, a_3, \dots, a_n, \dots$ is also denoted by $\{a_n\}$.

For example:

The set of numbers 1, 4, 9, ... is a sequence with the n^{th} term given by

$$a_n = n^2, \text{ where } n \geq 1.$$

2.2 Subsequence

If $\{a_n\}$ is a sequence of real numbers and if $\{n_k\}$ is a strictly increasing sequence of natural numbers ($n_{k+1} > n_k$ for all n), then the sequence $\{a_{n_k}\}$ is called a subsequence of $\{a_n\}$.

For example,

if $\{a_n\} = \{n^2\} = \{1, 4, 9, \dots\}$, and $\{n_k\} = \{1, 3, 5, \dots\}$, then $\{a_{n_k}\} = \{1, 9, 25, \dots\}$.

It is clear that $\{a_n\}$ has many other subsequences including itself. However, the sequence $\{b_n\} = \{1, 25, 9, \dots\}$ is not a subsequence of $\{a_n\}$ since the order of terms is not preserved.

2.3 Bounded Sequences

The sequence $\{a_n\}$ is bounded above if there exists a real number B such that $a_n \leq B$ for all n .

For example, if $\{a_n\} = \left\{1, \frac{1}{2}, \frac{1}{3}, \dots\right\}$, then $a_n \leq 1$ for all n , thus $\{a_n\}$ is bounded

above. It is clear that for any number b less than 1, $a_n > b$ for some n (1 is the least upper bound). The sequence $\{a_n\}$ is bounded below if there exists a real number C such that $a_n \geq C$ for all n .

For example, if $\{a_n\} = \left\{1, \frac{1}{2}, \frac{1}{3}, \dots\right\}$ then $a_n > 0$ for all n . Thus $\{a_n\}$ is bounded

below. It is clear that for any number b greater than 0, $a_n < b$ for some n (0 is the greatest lower bound, see definition 2.4 below).

Definition 2.4 Greatest lower bound

A real number C is said to be the greatest lower bound of $\{a_n\}$, if C is a lower bound of $\{a_n\}$ and no real number greater than C is a lower bound of $\{a_n\}$.

The greatest lower bound of $\{a_n\}$ is denoted by $\inf\{a_n\}$ (infimum of $\{a_n\}$) or $\text{glb } \{a_n\}$. Thus $C = \inf \{a_n\}$ if and only if it satisfies the following:

- (1) $a_n \geq C$ for all n .
- (2) For each $\varepsilon > 0$ there exists an integer n such that $a_n < C + \varepsilon$. The least upper bound is defined similarly.

If sequence $\{a_n\}$ is bounded above and below, we say it is bounded.

For example, the sequence $\{a_n\} = \left\{1, \frac{1}{2}, \frac{1}{3}, \dots\right\}$ is a bounded sequence, while the sequence $\{b_n\} = \{1, 2, 3, \dots\}$ is bounded below but not bounded above and the sequence $\{c_n\} = \{0, -1, -2, \dots\}$ is bounded above but not bounded below. The sequence $\{d_n\} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$ is unbounded.

2.5 Limit of a Sequence

A sequence $\{a_n\}$ is said to have limit L if given any $\varepsilon > 0$, there is a positive integer N such that $|a_n - L| < \varepsilon$ whenever $n \geq N$. If a sequence has limit L , we say that the sequence converges to L and we write $\lim a_n = L$.

For example, if $\{a_n\} = \left\{\frac{1}{n}\right\} = \left\{1, \frac{1}{2}, \frac{1}{3}, \dots\right\}$, then $\lim a_n = 0$.

2.6 Limit Inferior

Let $\{a_n\}$ be a bounded sequence. A subsequential limit of $\{a_n\}$ is any real number that is the limit of some subsequence of $\{a_n\}$. If S is the set of all subsequential limits of $\{a_n\}$, then we define the limit inferior of $\{a_n\}$ to be infimum of S denoted by $\liminf a_n$.

We wish to generalize the notion of the limit inferior to the unbounded sequences.

We must consider two cases:

Case 1: Suppose that $\{a_n\}$ is unbounded below then we define $\liminf a_n = -\infty$.

Case 2: Suppose that $\{a_n\}$ is bounded below but not bounded above. If some subsequences converge to finite numbers, we define $\liminf a_n$ to be the infimum of the set of subsequential limits. If no subsequence converges to a finite number, we must have $\lim a_n = +\infty$, so we define $\liminf a_n = +\infty$.

For example, if

$$\{a_n\} = \left\{1, \frac{1}{2}, \frac{1}{3}, \dots\right\}, \text{ then } \liminf \{a_n\} = 0.$$

$$\{b_n\} = \{-1, -2, -3, -4, -5, \dots\}, \text{ then } \liminf \{b_n\} = -\infty.$$

$$\{c_n\} = \left\{-1, 1, -2, \frac{1}{2}, -3, \frac{1}{3}, \dots\right\}, \text{ then } \liminf \{c_n\} = -\infty.$$

$$\{d_n\} = \{1, 2, 3, \dots\}, \text{ then } \liminf \{d_n\} = +\infty.$$

2.7 Density of a Sequence of Positive Integers

The number of positive integers in a set A that are less than or equal to x (x is a real number not necessarily belonging to the set A) is denoted by $A(x)$.

For a positive increasing sequence of integers $\{a_n\}$, we have, $A(a_i) = i$.

For example, if

$$A_1 = \{1, 2, 3, \dots, k\} \text{ and } x = 6, \text{ then } A_1(6) = 6.$$

$$A_2 = \{2, 4, 6, \dots, 2k\} \text{ and } x = 6, \text{ then } A_2(6) = 3.$$

$$A_3 = \{3, 6, 9, \dots, 3k\} \text{ and } x = 6, \text{ then } A_3(6) = 2.$$

Consider the ratio $\frac{A(n)}{n}$:

$$\frac{A_1(6)}{6} = 1, \frac{A_2(6)}{6} = \frac{1}{2}, \text{ and } \frac{A_3(6)}{6} = \frac{1}{3}, \text{ and in general } \frac{A_1(n)}{n} > \frac{A_2(n)}{n} > \frac{A_3(n)}{n}.$$

Roughly speaking, we can say that, A_1 is more dense compared to A_2 and A_3 while A_2 is more dense compared to A_3 .

A precise definition of the density is given below:

Definition 2.8 [9] The density of a set A (not necessarily positive integers) is defined by

$$\delta_1(A) = \liminf \frac{A(n)}{n}. \text{ In case the sequence } \frac{A(n)}{n} \text{ has a limit, we say that } A \text{ has a}$$

$$\text{natural density denoted by } \delta(A), \delta(A) = \lim_{n \rightarrow \infty} \frac{A(n)}{n}.$$

Obviously if $A(n)$ is bounded, then $\delta(A) = 0$.

It is easily seen that if a natural density $\delta(A)$ of a set A exists, then the density $\delta_1(A)$ exists and $\delta_1(A) = \delta(A)$.

Chapter 3

Continued Fractions

In this chapter we study finite and infinite continued fractions.

3.1 [3] Finite Continued Fractions

A finite continued fraction has the form

$$\begin{aligned} a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{\ddots + \frac{1}{a_{n-1} + \frac{1}{a_n}}}}} \end{aligned}$$

where a_i are positive real numbers, except a_0 , which could be any real number. The terms $a_0, a_1, a_2, a_3, \dots, a_n$ are the partial denominators of this continued fraction.

A continued fraction is called simple if a_i are all positive integers for $i \geq 1$.

Eliminating $\frac{b}{r_1}$ from the first equation by using the second equation, we get

$$\frac{a}{b} = a_0 + \frac{1}{a_1 + \frac{1}{\frac{r_1}{r_2}}}. \quad \text{Now eliminating } \frac{r_1}{r_2} \text{ from the second equation by using the third}$$

equation, we get $\frac{a}{b} = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{r_2}{r_3}}}$. If we continue this process we can then write

$\frac{a}{b}$ as a finite simple continued fraction.

The following notation is used to write $\frac{a}{b}$ as a finite simple continued fraction

$\frac{a}{b} = [a_0, a_1, a_2, \dots, a_n]$. Notice that the representation of a rational number as a

simple continued fraction is not unique, as the following example shows.

Example 3.3 Express $\frac{16}{57}$ as a finite simple continued fraction.

$$\begin{aligned} \frac{16}{57} &= \frac{1}{\frac{57}{16}} = \frac{1}{3 + \frac{9}{16}} = \frac{1}{3 + \frac{1}{\frac{16}{9}}} = \frac{1}{3 + \frac{1}{1 + \frac{7}{9}}} = \frac{1}{3 + \frac{1}{1 + \frac{1}{\frac{9}{7}}}} = \frac{1}{3 + \frac{1}{1 + \frac{1}{1 + \frac{2}{7}}}} = \frac{1}{3 + \frac{1}{1 + \frac{1}{1 + \frac{1}{\frac{7}{2}}}}} \\ &= \frac{1}{3 + \frac{1}{1 + \frac{1}{1 + \frac{1}{3 + \frac{1}{1 + \frac{1}{1}}}}}} = \frac{1}{3 + \frac{1}{1 + \frac{1}{1 + \frac{1}{3 + \frac{1}{1 + \frac{1}{1}}}}}} \end{aligned}$$

or $\frac{16}{57} = [0, 3, 1, 1, 3, 2] = [0, 3, 1, 1, 3, 1, 1]$ where $a_0 = 0$.

Example 3.4 Express $\frac{187}{57}$ as a finite simple continued fraction.

We can write $\frac{187}{57} = 3 + \frac{16}{57}$ but from example 3.4 we know $\frac{16}{57} = [0, 3, 1, 1, 3, 1, 1]$,

thus $\frac{187}{57} = [3, 3, 1, 1, 3, 1, 1]$.

Example 3.5 Express $-\frac{19}{51}$ as a finite simple continued fraction.

$-\frac{19}{51} = -1 + \frac{32}{51}$ where $\frac{32}{51} = [1, 1, 1, 2, 6]$,

then $-\frac{19}{51} = [-1, 1, 1, 1, 2, 6]$ where $a_0 = -1$.

Definition 3.6 The continued fraction made from $[a_0, a_1, a_2, \dots, a_n]$ by cutting off the expansion after k^{th} partial quotient a_k is called the k^{th} convergent of the given continued fraction, and is denoted by $c_k = [a_0, a_1, a_2, \dots, a_k]$ $1 \leq k \leq n$.

Example 3.7 For $\frac{16}{57} = [0, 3, 1, 1, 3, 2]$, find c_n where $n = 0, 1, 2, \dots, 5$.

$$c_0 = 0, \quad c_1 = 0 + \frac{1}{3} = \frac{1}{3} = [0, 3],$$

$$c_2 = 0 + \frac{1}{3 + \frac{1}{1}} = \frac{1}{4} = [0, 3, 1],$$

$$c_3 = 0 + \frac{1}{3 + \frac{1}{1 + \frac{1}{1}}} = \frac{2}{7} = [0, 3, 1, 1],$$

$$c_4 = 0 + \frac{1}{3 + \frac{1}{1 + \frac{1}{1 + \frac{1}{3}}}} = \frac{7}{25} = [0, 3, 1, 1, 3],$$

$$c_5 = 0 + \frac{1}{3 + \frac{1}{1 + \frac{1}{1 + \frac{1}{3 + \frac{1}{2}}}}} = \frac{16}{57} = [0, 3, 1, 1, 3, 2].$$

Now let us compare c_k with $\frac{16}{57}$ for $k = 1, 2, 3, \dots, 5$.

$$c_1 = \frac{1}{3} = \frac{19}{57} > \frac{16}{57},$$

$$c_2 = \frac{1}{4} = \frac{14.25}{57} < \frac{16}{57},$$

$$c_3 = \frac{2}{7} = \frac{114}{399} > \frac{16}{57} = \frac{112}{399},$$

$$c_4 = \frac{7}{25} = \frac{15.96}{57} < \frac{16}{57},$$

$$c_5 = \frac{16}{57}.$$

Notice that $\left| \frac{1}{3} - \frac{16}{57} \right| = \frac{3}{57}$, $\left| \frac{1}{4} - \frac{16}{57} \right| = \frac{1.75}{57}$, $\left| \frac{2}{7} - \frac{16}{57} \right| = \frac{0.285}{57}$, and $\left| \frac{7}{25} - \frac{16}{57} \right| = \frac{0.04}{57}$.

We see that except for c_5 , which is equal to $\frac{16}{57}$, these c_k 's are alternately less than or greater than $\frac{16}{57}$. Each convergent is closer to $\frac{16}{57}$ than the previous one.

Now let us define P_k and Q_k as follows for $k = 0, 1, 2, \dots, n$

$$P_0 = a_0, \quad Q_0 = 1.$$

$$P_1 = a_1 a_0 + 1, \quad Q_1 = a_1.$$

$$P_k = a_k P_{k-1} + P_{k-2}, \quad Q_k = a_k Q_{k-1} + Q_{k-2} \quad \text{for } k \geq 2.$$

Observe that, $\frac{P_0}{Q_0} = \frac{a_0}{1} = a_0 = c_0$,

$$\frac{P_1}{Q_1} = \frac{a_1 a_0 + 1}{a_1} = a_0 + \frac{1}{a_1} = c_1 \text{ and}$$

$$\frac{P_2}{Q_2} = \frac{a_2 P_1 + P_0}{a_2 Q_1 + Q_0} = \frac{a_2(a_1 a_0 + 1) + a_0}{a_2 a_1 + 1} = a_0 + \frac{1}{a_1 + \frac{1}{a_2}} = c_2.$$

In general we have the following.

Theorem 3.8 [3] The k^{th} convergent of the simple continued fraction $[a_0, a_1, \dots, a_n]$

has the value $c_k = \frac{a_k P_{k-1} + P_{k-2}}{a_k Q_{k-1} + Q_{k-2}} = \frac{P_k}{Q_k}$.

Proof by Induction:

We know the theorem is true for $k = 0, 1$ and 2 . Let us assume it is true for $k = m$,

$c_m = \frac{a_m P_{m-1} + P_{m-2}}{a_m Q_{m-1} + Q_{m-2}}$, we must show it is true for $k = m + 1$.

If we replace a_m by $a_m + \frac{1}{a_{m+1}}$ in c_m , then we have

$$c_{m+1} = [a_0, a_1, \dots, a_{m-1}, a_m, a_{m+1}] = \left[a_0, a_1, \dots, a_{m-1}, a_m + \frac{1}{a_{m+1}} \right] =$$

$$\frac{\left(a_m + \frac{1}{a_{m+1}} \right) P_{m-1} + P_{m-2}}{\left(a_m + \frac{1}{a_{m+1}} \right) Q_{m-1} + Q_{m-2}},$$

$$\text{or } c_{m+1} = \frac{a_{m+1}(a_m P_{m-1} + P_{m-2}) + P_{m-1}}{a_{m+1}(a_m Q_{m-1} + Q_{m-2}) + Q_{m-1}} = \frac{a_{m+1} P_m + P_{m-1}}{a_{m+1} Q_m + Q_{m-1}}. \text{ The proof is complete.}$$

Now let us see how this works for $\frac{16}{57} = [0, 3, 1, 1, 3, 2] = [a_0, a_1, a_2, a_3, a_4, a_5]$.

$$P_0 = a_0 = 0,$$

$$Q_0 = 1,$$

$$P_1 = a_1 a_0 + 1 = 1,$$

$$Q_1 = a_1 = 3,$$

$$P_2 = a_2 P_1 + P_0 = 1,$$

$$Q_2 = a_2 Q_1 + Q_0 = 1(3) + 1 = 4,$$

$$P_3 = a_3 P_2 + P_1 = 1(1) + 1 = 2,$$

$$Q_3 = a_3 Q_2 + Q_1 = 1(4) + 3 = 7,$$

$$P_4 = a_4 P_3 + P_2 = 3(2) + 1 = 7,$$

$$Q_4 = a_4 Q_3 + Q_2 = 3(7) + 4 = 25,$$

$$P_5 = a_5 P_4 + P_3 = 2(7) + 2 = 16,$$

$$Q_5 = a_5 Q_4 + Q_3 = 2(25) + 7 = 57,$$

$$\text{then } c_0 = \frac{P_0}{Q_0} = 0,$$

$$c_1 = \frac{P_1}{Q_1} = \frac{1}{3},$$

$$c_2 = \frac{P_2}{Q_2} = \frac{1}{4},$$

$$c_3 = \frac{P_3}{Q_3} = \frac{2}{7},$$

$$c_4 = \frac{P_4}{Q_4} = \frac{7}{25},$$

$$c_5 = \frac{P_5}{Q_5} = \frac{16}{57}.$$

Theorem 3.9 [3] If $c_k = \frac{P_k}{Q_k}$ is the k^{th} convergent of the simple continued fraction

$$[a_0, a_1, a_2, \dots, a_n], \text{ then } P_k Q_{k-1} - Q_k P_{k-1} = (-1)^{k-1} \quad (1 \leq k \leq n).$$

Proof by Induction: For $k = 1$ we have

$$P_1 Q_0 - Q_1 P_0 = (a_1 a_0 + 1) - a_1(a_0) = 1 = (-1)^0 = 1.$$

Now assume it is true for $k = m$ which means that $P_m Q_{m-1} - Q_m P_{m-1} = (-1)^m$. Then

we must show it is true for $k = m + 1$, which is $P_{m+1} Q_m - Q_{m+1} P_m = (-1)^{m+1}$. We can

write

$$(a_{m+1} P_m + P_{m-1}) Q_m - (a_{m+1} Q_m + Q_{m-1}) P_m = -(P_m Q_{m-1} - Q_m P_{m-1}) = -1(-1)^m = (-1)^{m+1}.$$

The proof is complete.

Corollary 3.10 [3] For $1 \leq k \leq n$ P_k and Q_k are relatively prime.

Proof: If $d = \gcd(P_k, Q_k)$ (\gcd stands for greatest common divisor),

then d must divide $(-1)^{k-1}$ and since $d > 0$ then $d = 1$, which means that P_k and Q_k are

relatively prime. Notice that we use the fact that if d divides a and b , then d divides

$ax + by$ where x and y are arbitrary integers.

Corollary 3.11 [3] For $1 \leq k \leq n$, $Q_{k-1} \leq Q_k$ and for $k > 1$, $Q_{k-1} < Q_k$

Proof by Induction: When $k = 1$, $Q_0 \leq Q_1$ is true because $1 \leq a_1$.

Suppose for $k = m$, $Q_{m-1} \leq Q_m$. Then we must show that for $k = m + 1$, we have

$Q_m \leq Q_{m+1}$. It is easily seen that $Q_{m+1} = a_{m+1} Q_m + Q_{m-1} > a_{m+1} Q_m \geq 1 Q_m = Q_m$.

By the same argument we can show $P_{k-1} < P_k$.

Theorem 3.12 [3]

(1) If $c_k = \frac{P_k}{Q_k}$, then the convergents with even subscripts form a strictly increasing

sequence, that is, $c_0 < c_2 < c_4 < \dots$.

(2) If $c_k = \frac{P_k}{Q_k}$, then the convergents with odd subscripts form a strictly decreasing

sequence, that is, $c_1 > c_3 > c_5 > \dots$.

(3) If $c_k = \frac{P_k}{Q_k}$, then every convergent with an odd subscript is greater than every

convergent with an even subscript.

Proof : With the aid of Theorem 3.9 we find that

$$\begin{aligned}
 c_{k+2} - c_k &= (c_{k+2} - c_{k+1}) + (c_{k+1} - c_k) = \left(\frac{P_{k+2}}{Q_{k+2}} - \frac{P_{k+1}}{Q_{k+1}} \right) + \left(\frac{P_{k+1}}{Q_{k+1}} - \frac{P_k}{Q_k} \right) \\
 &= \frac{P_{k+2} \cdot Q_{k+1} - P_{k+1} Q_{k+2}}{Q_{k+2} \cdot Q_{k+1}} + \frac{P_{k+1} \cdot Q_k - P_k Q_{k+1}}{Q_{k+1} \cdot Q_k} \\
 &= \frac{(-1)^{k+1}}{Q_{k+2} \cdot Q_{k+1}} + \frac{(-1)^k}{Q_{k+1} \cdot Q_k} = \frac{(-1)^k (Q_{k+2} - Q_k)}{Q_k Q_{k+1} Q_{k+2}}.
 \end{aligned}$$

Recalling that $Q_n > 0$ for all $n \geq 0$ and that $Q_{k+2} - Q_k > 0$, it is evident that $c_{k+2} - c_k$

has the same algebraic sign as does $(-1)^k$. If k is an even integer say $k = 2i$, then

$c_{2i+2} - c_{2i} > 0$, hence $c_{2i+2} > c_{2i}$ for all i . Thus $c_0 < c_2 < c_4 < \dots$.

Similarly if k is an odd integer say $k = 2i - 1$, hence $c_{2i+1} < c_{2i-1}$ for all i .

Thus $c_1 > c_3 > c_5 > \dots$.

It remains only to show that any odd-numbered convergent c_{2r-1} is greater than any even-numbered convergent c_{2s} .

Since $P_k Q_{k-1} - Q_k P_{k-1} = (-1)^{k-1}$, upon dividing both sides of the equation by

$Q_k Q_{k-1}$ we obtain

$$\frac{P_k}{Q_k} - \frac{P_{k-1}}{Q_{k-1}} = \frac{(-1)^{k-1}}{Q_k Q_{k-1}},$$

$$c_k - c_{k-1} = \frac{(-1)^{k-1}}{Q_k Q_{k-1}}.$$

This means that $c_{2i} < c_{2i-1}$.

The effect of tying the various inequalities together is that

$$c_{2s} < c_{2s+2r} < c_{2s+2r-1} < c_{2r-1}, \text{ as desired.}$$

Let us check these for $\frac{16}{57} = [0, 3, 1, 1, 3, 2]$.

$$c_0 = 0, \quad c_1 = \frac{1}{3} = 0.3333, \quad c_2 = \frac{1}{4} = 0.2500, \quad c_3 = \frac{2}{7} = 0.2875,$$

$$c_4 = \frac{7}{25} = 0.2800, \quad c_5 = \frac{16}{57} = 0.2807 \text{ and we see that}$$

$$0.0000 < 0.2500 < 0.2800 < 0.2807 < 0.2857 < 0.3333.$$

3.13 Infinite Continued Fractions

If a_0, a_1, a_2, \dots is an infinite sequence of integers, all positive except perhaps for a_0 ,

$$a_0 + \frac{1}{\phantom{a_1 + \frac{1}{a_2 + \dots}}}$$

then the expression $a_1 + \frac{1}{}$ denoted by $[a_0, a_1, a_2, \dots]$ is called an

$$a_2 + \dots$$

infinite simple continued fraction. We define the value of $[a_0, a_1, a_2, \dots]$ as

$$\lim_{n \rightarrow \infty} c_n = \lim_{n \rightarrow \infty} [a_0, a_1, a_2, \dots, a_n].$$

We will prove that this limit exists and it is always an irrational number, but before doing this, we will state and prove the following facts.

Lemma 3.14 A convergent sequence $\{a_n\}$ is bounded.

Proof: Since $\{a_n\}$ is convergent then $\lim_{n \rightarrow \infty} a_n = L$. We must show that there exists a

positive number B such that $|a_n| < B$ for all n .

Since $|a_n| = |a_n - L + L| \leq |a_n - L| + |L|$ (by triangular inequality) and for a fixed $\varepsilon > 0$ we can find N such that $|a_n - L| < \varepsilon$ for all $n > N$, hence $|a_n| < \varepsilon + |L|$ for all $n > N$.

It follows that $|a_n| < B$ for all n , where $B = \max\{a_1, \dots, a_N, \varepsilon + |L|\}$.

The converse of Lemma 3.14 is not true; not every bounded sequence is necessarily convergent. For example, $\{a_n\} = \{0, 1, 0, 1, \dots\}$ is bounded but does not converge.

Theorem 3.15 [2] If $\{a_n\}$ is a monotone increasing sequence, then $\{a_n\}$ converges if and only if it is bounded.

Proof: We saw in Lemma 3.14 that a convergent sequence is bounded. Now if we prove that a bounded monotone increasing sequence is convergent, then the proof of this theorem is complete.

Suppose $\{a_n\}$ is a bounded monotonic increasing sequence, then it has the least upper bound, say L and $a_n \leq L$ for all n . But, for any $\varepsilon > 0$ $L - \varepsilon$ is not an upper bound of $\{a_n\}$ and there exists N such that $a_N \geq L - \varepsilon$ and for $n \geq N$ $L - \varepsilon < a_N < a_n \leq L$.

It follows that $|a_n - L| < \varepsilon$ for $n \geq N$. This means that $\{a_n\}$ converges to L .

Corollary 3.16 If $\{a_n\}$ is a monotone decreasing sequence, then $\{a_n\}$ converges if, and only if, it is bounded.

Proof : It is similar to that of Theorem 3.15.

Theorem 3.17 [3] The value of any infinite continued fraction exists and is an irrational number.

Proof : We want to show that $\lim_{n \rightarrow \infty} c_n = \lim_{n \rightarrow \infty} [a_0, a_1, a_2, \dots, a_n]$ exists and it is always an irrational number.

We saw $c_0 < c_2 < c_4 < \dots < c_{2n} < \dots < c_{2n+1} < \dots < c_5 < c_3 < c_1$.

Since $\{c_{2n}\}$ is monotonically increasing bounded by c_1 it will converge to a limit, say l_1 , which is greater than each c_{2n} . Similarly the monotonically decreasing sequence $\{c_{2n+1}\}$ is bounded below by c_0 and it converges to a limit, say l_2 , which is less than each c_{2n+1} .

Now we show $l_1 = l_2$.

As we point out that $l_1 > c_{2n}$, $l_2 < c_{2n+1}$,

$$\text{hence, } l_2 - l_1 < c_{2n+1} - c_{2n} = \frac{P_{2n+1}}{Q_{2n+1}} - \frac{P_{2n}}{Q_{2n}}$$

$$l_2 - l_1 < \frac{P_{2n+1}Q_{2n} - Q_{2n+1}P_{2n}}{Q_{2n+1}Q_{2n}} = \frac{(-1)^2}{Q_{2n+1}Q_{2n}} = \frac{1}{Q_{2n+1}Q_{2n}}.$$

$$\text{Then } |l_2 - l_1| < \frac{1}{Q_{2n}Q_{2n+1}} < \frac{1}{Q_{2n}^2} \text{ because } Q_{2n+1} > Q_{2n}.$$

Since Q_{2n} increases without bound, for any given $\varepsilon > 0$ there is an N such that for $n \geq N$, $|l_2 - l_1| < \varepsilon$, this means that $l_2 = l_1$. Thus c_n has a limit, say L and the value

$$\text{of } [a_0, a_1, a_2, \dots] = \lim_{n \rightarrow \infty} [a_1, a_1, a_2, \dots, a_n] = L.$$

Now we will show that the value of any infinite continued fraction is an irrational number.

$$\text{Suppose } L = [a_0, a_1, a_2, \dots] = \lim_{n \rightarrow \infty} [a_1, a_1, a_2, \dots, a_n] = \lim_{n \rightarrow \infty} c_n \text{ and}$$

$$c_{2n} < L < c_{2n+1}.$$

$$\text{Then } 0 < |L - c_{2n}| < |c_{2n+1} - c_{2n}| = \left| \frac{P_{2n+1}}{Q_{2n+1}} - \frac{P_{2n}}{Q_{2n}} \right| = \frac{1}{Q_{2n}Q_{2n+1}}.$$

$$\text{Suppose } L \text{ is a rational number, say } L = \frac{a}{b}.$$

$$\text{Then } 0 < \left| \frac{a}{b} - \frac{P_n}{Q_n} \right| < \frac{1}{Q_n Q_{n+1}} \text{ or } 0 < |aQ_n - bP_n| < \frac{b}{Q_{n+1}}.$$

Since Q_n increases without a bound, then $\frac{b}{Q_{n+1}} < 1$ for sufficiently large n or

$$0 < |aQ_n - bP_n| < 1. \text{ However, } a, b, P_n \text{ and } Q_n \text{ are integers and the above inequality}$$

implies that there is a positive integer between 0 and 1, which is impossible. Therefore,

L is an irrational number.

Chapter 4

The Fibonacci Sequence

The Fibonacci Sequence $u_1, u_2, u_3, \dots, u_n$ is defined by

$u_1 = 1$ $u_2 = 1$ and $u_n = u_{n-1} + u_{n-2}$ for $n \geq 3$. From this definition we see that

$$\{u_n\} = \{1, 1, 2, 3, 5, 8, 13, 21, \dots\}.$$

However, we need more information about this sequence before we proceed.

Theorem 4.1 [3] Successive Fibonacci numbers are relatively prime or

$$d = \gcd(u_n, u_{n+1}) = 1.$$

Proof : Suppose that the integer $d \geq 1$ divides both u_n and u_{n+1} . Then d divides

$$u_{n+1} - u_n = u_{n-1}.$$

If we continue in this manner, we conclude that d divides $u_1 = 1$. Thus $d = 1$ and the theorem is proved.

Problem 4.2 Consider $\frac{u_{n+1}}{u_n}$ where u_n and u_{n+1} are two successive Fibonacci numbers.

We wish to determine $\lim_{n \rightarrow \infty} \frac{u_{n+1}}{u_n}$. Consider the continued fraction $x = [1, 1, 1, \dots]$. It is

easily seen that for this particular continued fraction $P_n = u_{n+2}$, $Q_n = u_{n+1}$ $n \geq 0$ (see the definition of P_k and Q_k before Theorem 3.8 on page 14).

Thus, $\lim_{n \rightarrow \infty} \frac{P_n}{Q_n} = \lim_{n \rightarrow \infty} \frac{u_{n+2}}{u_{n+1}} = \lim_{n \rightarrow \infty} \frac{u_{n+1}}{u_n} = x$.

Now we find the value of x .

$$x = \lim_{n \rightarrow \infty} \frac{u_{n+1}}{u_n} = \lim_{n \rightarrow \infty} \frac{u_n + u_{n-1}}{u_n} = \lim_{n \rightarrow \infty} \left(1 + \frac{u_{n-1}}{u_n} \right) = \lim_{n \rightarrow \infty} \left(1 + \frac{1}{\frac{u_n}{u_{n-1}}} \right).$$

$$\text{Hence } x = 1 + \frac{1}{\lim_{n \rightarrow \infty} \frac{u_n}{u_{n-1}}} = 1 + \frac{1}{x}.$$

Then $x^2 - x - 1 = 0$, and the positive root is $x = \frac{1 + \sqrt{5}}{2}$.

Therefore $[1, 1, 1, \dots] = \frac{1 + \sqrt{5}}{2}$.

Problem 4.3 [3] Let $\alpha = \frac{1}{2}(1 + \sqrt{5})$. Show the n^{th} fibonacci number u_n is given by

$$u_n = \frac{\alpha^n - (-\alpha)^{-n}}{\sqrt{5}}.$$

Proof by Induction: For $n = 1$ we have to show $u_1 = \frac{\alpha - (-\alpha)^{-1}}{\sqrt{5}} = \frac{\alpha + \frac{1}{\alpha}}{\sqrt{5}}$.

$$\text{Since } \alpha + \frac{1}{\alpha} = \frac{1 + \sqrt{5}}{2} + \frac{2}{1 + \sqrt{5}} = \frac{1 + 5 + 2\sqrt{5} + 4}{2(1 + \sqrt{5})} = \frac{10 + 2\sqrt{5}}{2(1 + \sqrt{5})} = \frac{5 + \sqrt{5}}{1 + \sqrt{5}},$$

$$\text{therefore, } u_1 = \frac{\frac{5 + \sqrt{5}}{1 + \sqrt{5}}}{\sqrt{5}} = \frac{5 + \sqrt{5}}{5 + \sqrt{5}} = 1 \text{ which is true.}$$

Suppose it is true for $n = k$. We then have, $u_k = \frac{\alpha^k - (-\alpha)^{-k}}{\sqrt{5}}$.

Now we must show it is true for $n = k + 1$. That is to say $u_{k+1} = \frac{\alpha^{k+1} - (-\alpha)^{-(k+1)}}{\sqrt{5}}$.

Since $u_{k+1} = u_k + u_{k-1}$, we now have

$$u_{k+1} = \frac{\alpha^k - (-\alpha)^{-k}}{\sqrt{5}} + \frac{\alpha^{k-1} - (-\alpha)^{-(k-1)}}{\sqrt{5}} = \frac{1}{\sqrt{5}} \left[\alpha^k (1 + \alpha^{-1}) - (-\alpha)^{-k} (1 - \alpha) \right], \text{ but}$$

$$1 - \alpha = 1 - \frac{1 + \sqrt{5}}{2} = \frac{1 - \sqrt{5}}{2} = \frac{(1 - \sqrt{5})(1 + \sqrt{5})}{2(1 + \sqrt{5})} = \frac{-4}{2(1 + \sqrt{5})} = -\frac{2}{1 + \sqrt{5}} = -\frac{1}{\alpha} = (-\alpha)^{-1}$$

$$1 - \alpha = (-\alpha)^{-1} \text{ or } 1 + \frac{1}{\alpha} = \alpha.$$

Therefore, $u_{k+1} = \frac{1}{\sqrt{5}} \left[\alpha^k (\alpha) - (-\alpha)^{-k} (-\alpha)^{-1} \right] = \frac{1}{\sqrt{5}} \left[\alpha^{k+1} - (-\alpha)^{-(k+1)} \right]$

and the proof is complete.

Chapter 5

Barnes' Theorem

Let $[a_0, a_1, \dots, a_n, \dots]$ be a simple continued fraction, and its approximants be $\frac{P_n}{Q_n}$. Then the sequence $\{P_n\}$ of numerators of the approximants is well defined. It has an interesting property which was proved by Barnes in 1973.

Theorem 5.1 [1] (Barnes' Theorem) The sequence of numerators of approximants to every simple continued fraction has the natural density of zero.

Proof : Before proving Barnes' Theorem we first will prove the following lemma.

Lemma 5.2 Suppose $\{b_n\}$ is an increasing positive sequence and if $\sum_{n=1}^{\infty} \frac{1}{b_n}$ converges,

then $\lim_{n \rightarrow \infty} \frac{n}{b_n} = 0$.

Proof: It is easily seen that $\left\{\frac{1}{b_n}\right\}$ is a decreasing sequence because $\{b_n\}$ is an increasing

positive sequence. Since $\sum_{n=1}^{\infty} \frac{1}{b_n}$ converges, then for any given $\varepsilon > 0$ there exists a

positive integer N such that for $n > m > N$ $0 < \frac{1}{b_m} + \frac{1}{b_{m+1}} + \frac{1}{b_{m+2}} + \dots + \frac{1}{b_n} < \frac{\varepsilon}{2}$ or

$(n-m)\frac{1}{b_n} < \frac{1}{b_m} + \frac{1}{b_{m+1}} + \frac{1}{b_{m+2}} + \dots + \frac{1}{b_n} < \frac{\varepsilon}{2}$ or $\frac{n}{b_n} < \frac{\frac{\varepsilon}{2}n}{n-m}$, taking $n = 2m$,

$\frac{n}{b_n} < \frac{\frac{\varepsilon}{2}(2m)}{m} = \varepsilon$. Thus $\lim_{n \rightarrow \infty} \frac{n}{b_n} = 0$.

Notice that the converse is not true.

This means that if $\lim_{n \rightarrow \infty} \frac{n}{b_n} = 0$ it is not necessarily true that $\sum_{n=1}^{\infty} \frac{1}{b_n}$ converges.

Look at the following example:

If $b_n = n \log n$, then $\lim_{n \rightarrow \infty} \frac{n}{b_n} = \lim_{n \rightarrow \infty} \frac{n}{n \log n} = \lim_{n \rightarrow \infty} \frac{1}{\log n} = 0$, but $\sum_{n=2}^{\infty} \frac{1}{n \log n}$, does

not converge by the integral test.

Now we can prove Barnes' Theorem.

If $c_n = \frac{P_n}{Q_n}$, then we want to show that $P = \{P_n\}_{n=1}^{\infty}$ has the natural density of zero.

We first show by induction that $P_n \geq u_n$, where u_n is the n^{th} term of the Fibonacci

Sequence.

Note that $P_1 = a_1 \geq 1 = u_1$ and we assume it is true for $n \leq k$. Therefore $P_n \geq u_n$.

Now we must show it is true for $n = k + 1$ or $P_{k+1} \geq u_{k+1}$. But, we know from the definition on page 14 that $P_{k+1} = a_k P_k + P_{k-1} \geq P_k + P_{k-1} \geq u_k + u_{k-1} = u_{k+1}$ as desired.

But, we know $\lim_{n \rightarrow \infty} \frac{u_n}{u_{n+1}} = \frac{2}{1 + \sqrt{5}} < 1$. Then $\sum_{n=1}^{\infty} \frac{1}{u_n}$ converges by ratio test.

Since $\frac{1}{P_n} \leq \frac{1}{u_n}$, then by comparison test $\sum_{n=1}^{\infty} \frac{1}{P_n}$ converges. And $\lim_{n \rightarrow \infty} \frac{n}{P_n} = 0$ by lemma

5.2.

Proposition 5.3

If $\{b_n\}$ is a positive increasing sequence with $\lim_{n \rightarrow \infty} \frac{n}{b_n} = 0$, then $\lim_{n \rightarrow \infty} \frac{B(n)}{n} = 0$.

Proof: Let ε be an arbitrary positive number. There exists a positive integer N such that $\frac{k}{b_k} < \varepsilon$ for every $k > N$. Let $M = b_N$. Note that $B(M) = N$.

We prove that for $m \geq M$, $\frac{B(m)}{m} < \varepsilon$. Let $B(m) = k$. Thus $a_1, a_2, \dots, a_k \leq m$ and

$\frac{B(m)}{m} = \frac{k}{m} \leq \frac{k}{a_k} < \varepsilon$, since $k = B(m) \geq B(N) = N$, the proof is complete. By this

proposition then, $\delta(P) = 0$ and the proof of Barnes' Theorem is complete.

Using a similar argument, we can prove that for the sequence $\{Q_n\}$, in the denominator of the approximants of a simple continued fraction that, $\delta(Q) = 0$.

Definition 5.4 [2] Big O and little o notation.

We write $f(x) = o(g(x))$

(with the understanding that x is near some point of interest x_0 , possibly ∞), if

$\lim_{x \rightarrow x_0} \frac{f(x)}{g(x)} = 0$, and $f(x) = O(g(x))$, if $|f(x)| \leq C|g(x)|$ (for some $C > 0$) in some

neighborhood of x_0 .

Using little o notation we can write the result of Barnes' Theorem in a concise form. Let

$[a_0, a_1, \dots, a_n, \dots]$ be a simple continued fraction. Let its approximants be $\frac{P_n}{Q_n}$. Then

$P(n) = o(n)$ and $Q(n) = o(n)$.

Chapter 6

Improvement of Barnes' Theorem

Theorem 6.1 Let $\{a_n\}_{n=1}^{\infty}$ be a sequence of positive integers.

There are two related sequences P_n and Q_n obtained by taking numerators and denominators of the partial convergent out of the continued fraction number $[0, a_1, a_2, \dots, a_n, \dots]$.

Then $P(n) = O(\log n)$ and $Q(n) = O(\log n)$.

Proof: If $c_n = \frac{P_n}{Q_n} = [0, a_1, a_2, \dots, a_n]$ then we can write

$$P_0 = 0, \quad P_1 = 1, \quad P_n = a_n P_{n-1} + P_{n-2},$$

$$Q_0 = 1, \quad Q_1 = a_1, \quad Q_n = a_n Q_{n-1} + Q_{n-2}.$$

As we know $P_n \geq u_n$ and $Q_n \geq u_n$.

Therefore, $P(n) \leq U(n)$ and $Q(n) \leq U(n)$ for any positive integers.

In Chapter 4 we have shown that $u_n = \frac{\alpha^n - (-\alpha)^{-n}}{\sqrt{5}}$ where $\alpha = \frac{1 + \sqrt{5}}{2}$ and u_n is the n^{th}

term of the Fibonacci Sequence.

Now let n be a positive integer and let $U(n) = k$.

$$\text{We then have } u_k = \frac{\alpha^k - (-\alpha)^{-k}}{\sqrt{5}} \leq n, \quad \alpha^k - (-\alpha)^{-k} \leq \sqrt{5} n,$$

$$\alpha^k - \frac{1}{(-\alpha)^k} \leq \sqrt{5} n, \quad \alpha^k + \frac{(-1)}{(-1)^k \alpha^k} \leq \sqrt{5} n,$$

$$\alpha^{2k} - \sqrt{5} n \alpha^k + (-1)^{1-k} \leq 0.$$

Thus α^k is between the roots of the quadratic equation $x^2 - \sqrt{5} nx + (-1)^{1-k} = 0$.

$$\text{specifically } \alpha^k \leq \frac{\sqrt{5} n + \sqrt{5n^2 - 4(-1)^{1-k}}}{2} \text{ and we can write,}$$

$$k \leq \log_{\alpha} \left[\frac{\sqrt{5} n + \sqrt{5n^2 - 4(-1)^{1-k}}}{2} \right] \leq \log_{\alpha} \sqrt{5} (n+1) = \frac{\log [\sqrt{5} (n+1)]}{\log \alpha}.$$

Using big O notation we can write

$$U(n) = O(\log n) \text{ and } P(n) = O(\log n).$$

By the same argument we have $Q(n) = O(\log n)$.

Notice that $\lim_{n \rightarrow \infty} \frac{\log n}{n^{\varepsilon}} = 0$ for any given $\varepsilon > 0$ because $\lim_{n \rightarrow \infty} \frac{\log n}{n^{\varepsilon}} = \lim_{n \rightarrow \infty} \frac{1}{\varepsilon n^{\varepsilon}} = 0$ by

L'Hopital's Rule.

Therefore, Corollary 6.2 follows:

Corollary 6.2 Let ε be any given positive number.

Then $P(n) = o(n^{\varepsilon})$ and $Q(n) = o(n^{\varepsilon})$.

Chapter 7

A Conjecture for Further Study

Let $A^{(1)} = \{a_1^{(1)}, a_2^{(1)}, \dots, a_n^{(1)}, \dots\}$ and $A^{(2)} = \{a_1^{(2)}, a_2^{(2)}, \dots, a_n^{(2)}, \dots\}$ be two

increasing sequences of natural numbers. We can now construct a new sequence

$A^{(1)} \oplus A^{(2)}$ (where \oplus is conventional symbol) as follows.

Let $b_1 = \min\{a_1^{(1)}, a_1^{(2)}, a_2^{(1)}, a_2^{(2)}, \dots, a_n^{(1)}, a_n^{(2)}, \dots\}$

and $b_2 = \min\left\{\left\{a_1^{(1)}, a_1^{(2)}, a_2^{(1)}, a_2^{(2)}, \dots, a_n^{(1)}, a_n^{(2)}, \dots\right\} \setminus \{b_1\}\right\}$ (where \setminus means the

difference of two sets).

If b_n is well defined, let

$b_{m+1} = \min\left\{\left\{a_1^{(1)}, a_1^{(2)}, a_2^{(1)}, a_2^{(2)}, \dots, a_n^{(1)}, a_n^{(2)}, \dots\right\} \setminus \{b_1, b_2, \dots, b_m\}\right\}$.

The sequence $\{b_1, b_2, \dots, b_n, \dots\}$ is well defined.

Let $A^{(1)} \oplus A^{(2)} = \{b_1, b_2, \dots, b_n, \dots\}$.

It is now easily seen that $A^{(1)} \oplus A^{(2)}$ is also an increasing sequence of natural numbers.

Similarly if $A^{(i)} (i = 1, 2, \dots)$ are increasing sequences of natural numbers, we can further define an increasing sequence of $\bigoplus_{i=1}^{\infty} A^{(i)}$. This definition is based on “Archimedes Axiom”: every set of natural numbers has a minimum. Now consider two sequences of natural numbers A_1 and A_2 . From A_1, A_2 we can have two sequences $P_n(A_1)$ and $P_n(A_2)$ of numerators of the continued fraction corresponding to the sequences A_1, A_2 . Since $P_n(A_1), P_n(A_2)$ are two increasing sequences of natural numbers, we can then have $P_n(A_1) \oplus P_n(A_2)$. It is quite easy to prove that $\delta(P_n(A_1) \oplus P_n(A_2)) = O(\log n)$ because, $O(\log n) + O(\log n) = O(\log n)$.

But, if there are countably infinitely many sequences $A_1, A_2, \dots, A_n, \dots$, the density of the sequence $\bigoplus_{i=1}^{\infty} P_n(A_i)$ is still unknown. We make the following conjecture by observation of a few examples:

Conjecture Let $A_1, A_2, \dots, A_n, \dots$, be countably infinitely many sequences.

Then $\delta\left(\bigoplus_{i=1}^{\infty} P_n(A_i)\right) = O(\log n)$.

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